



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6 / DS
3-5-03
enter

.INVENTOR: Densen Cao
DOCKET: 5045.2 P
FILED: August 24, 2001
SERIAL NO.: 09/939,339
ASSIGNEE: Cao Group, Inc.

Assistant Commissioner for Patents
Washington, DC 20231

Information Disclosure Statement

Honorable Assistant Commissioner:

Attached please find form PTO-1449 and cited references. These references were learned of recently due to office actions in other cases. If any fee is due, please charge the fee to deposit account no. 50-0581.

Respectfully submitted this 19th day of February 2003.

Daniel P. McCarthy
PARSONS, BEHLE & LATIMER
201 South Main Street, Suite 1800
P.O. Box 45898
Salt Lake City, Utah 84145-0898
(801) 532-1234 or (801) 536-6830

02/27/2003 ZJUHR1 00000006 09939339

01 FC:1806

180.00 OP

RECEIVED
FEB 28 2003
TECHNOLOGY CENTER 2800



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: Densen Cao
DOCKET: 5045.2 P
FILED: August 24, 2001
SERIAL NO.: 09/939,339
ASSIGNEE: Cao Group, Inc.

Assistant Commissioner for Patents
Washington, DC 20231

Certificate of Mailing

Honorable Assistant Commissioner:

I hereby certify that the attached Information Disclosure Statement is being submitted via first class mail with the United States Postal Service in an envelope with sufficient postage addressed to "Assistant Commissioner for Patents, Washington, DC 20231" on February 19, 2003.

Daniel P. McCarthy
PARSONS, BEHLE & LATIMER
201 South Main Street, Suite 1800
P.O. Box 45898
Salt Lake City, Utah 84145-0898
(801) 532-1234 or (801) 536-6830

RECEIVED
FEB 28 2003
TECHNOLOGY CENTER 2800



2815\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: Densen Cao
DOCKET: 5045.2 P
FILED: August 24, 2001
SERIAL NO.: 09/939,339
ASSIGNEE: Cao Group, Inc.

Assistant Commissioner for Patents
Washington, DC 20231

Statement of Co-Pending Cases

Honorable Assistant Commissioner:

The following cases relating to semiconductor light sources for illuminating physical spaces are or have been co-pending with the present case:

U.S. Patent Application Serial No. 09/938,777
U.S. Patent Application Serial No. 09/939,488
U.S. Patent Application Serial No. 09/938,775
U.S. Patent Application Serial No. 09/938,876
U.S. Patent Application Serial No. 09/939,340, now U.S. Patent No. 6,465,961

Respectfully submitted on February 19, 2003.

Daniel P. McCarthy
PARSONS, BEHLE & LATIMER
201 South Main Street, Suite 1800
P.O. Box 45898
Salt Lake City, Utah 84145-0898
(801) 532-1234 or (801) 536-6830

RECEIVED
FEB 28 2003
TECHNOLOGY CENTER 2800



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: Densen Cao
DOCKET: 5045.2 P
FILED: August 24, 2001
SERIAL NO.: 09/939,339
ASSIGNEE: Cao Group, Inc.

Assistant Commissioner for Patents
Washington, DC 20231

Certificate of Mailing

Honorable Assistant Commissioner:

I hereby certify that the attached Statement of Co-Pending Cases is being submitted via first class mail with the United States Postal Service in an envelope with sufficient postage addressed to "Assistant Commissioner for Patents, Washington, DC 20231" on February 19, 2003.

Daniel P. McCarthy
PARSONS, BEHLE & LATIMER
201 South Main Street, Suite 1800
P.O. Box 45898
Salt Lake City, Utah 84145-0898
(801) 532-1234 or (801) 536-6830

RECEIVED
FEB 28 2003
TECHNOLOGY CENTER 2800